



Sardar Patel University of Police, Security & Criminal Justice, Jodhpur

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No.: F9(58)/SPUP/ACA/2016/Ph.D. Regulation/

Date: 05/02/2026

OFFICE ORDER

Following the approval of the Hon'ble Vice-Chancellor, SPUP, Jodhpur, the Minimum standard operating procedures of the Institutional Ethics Committee (IEC-SPUP) came into effect from 25th November, 2025, to ensure that the research conducted by the stakeholders of SPUP (both doctoral level and funded projects) is carried out ethically. The approved MSOP is enclosed with this order.

No.: F9(58)/SPUP/ACA/2016/Ph.D. Regulation/

Copy for information and further necessary action please:-

1. PS to Hon'ble Vice Chancellor, SPUP, Jodhpur
2. PA to Registrar, SPUP, Jodhpur
3. Finance Comptroller, SPUP, Jodhpur
4. Controller of Examinations, SPUP, Jodhpur
5. Deputy Registrar, SPUP, Jodhpur
6. Faculty members, SPUP, Jodhpur
7. Institutional Ethics Committee members, SPUP, Jodhpur
8. Guard File

Registrar
SPUP, Jodhpur
Date: /02/2026

Registrar
SPUP, Jodhpur

Signature valid

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Arya
Designation : Deputy Registrar
Date: 2026.02.05 14:28:22 IST
Reason: Approved

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Institutional Ethics Committee
Sardar Patel university of Police, Security and Criminal
Justice-Jodhpur



**Sardar Patel University of Police,
Security and Criminal Justice, Jodhpur**

(Established by Government of Rajasthan- 2012)

Minimum Standard Operating Procedures
Institutional Ethics Committee (IEC-SPUP)
2025

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Institutional Ethics Committee
Sardar Patel university of Police, Security and Criminal
Justice-Jodhpur

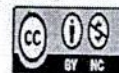


**Sardar Patel University of Police,
Security and Criminal Justice, Jodhpur**

(Established by Government of Rajasthan- 2012)

Minimum Standard Operating Procedures*
Institutional Ethics Committee (IEC-SPUP)
2025

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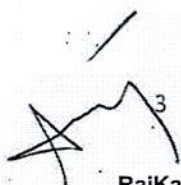
Institutional Ethics Committee

Sardar Patel university of Police, Security and Criminal Justice-Jodhpur

Minimum Standard Operating Procedures (MSOP-IEC, SPUP)

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Institutional Ethics Committee

Sardar Patel university of Police, Security and Criminal Justice-Jodhpur

Minimum Standard Operating Procedures (MSOP-IEC, SPUP)

GLOSSARY

HEI	Higher Educational Institution
ICSSR	Indian Council for Social Science Research
IEC	Institutional Ethics Committee
IEC-SPUP	Institutional Ethics Committee-Sardar Patel University of Police, Security, and Criminal Justice, Jodhpur
MSOP	Minimum Standard Operating Procedures
MSOP-IEC	Minimum Standard Operating Procedures- Institutional Ethics Committee
SPUP	Sardar Patel University of Police, Security, and Criminal Justice, Jodhpur
UGC	University Grant Commission
VC-SPUP	Vice-chancellor, Sardar Patel university of Police, Security and Criminal Justice, Jodhpur

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The name of this document shall be denoted as "Minimum Standard Operating Procedures of Institutional Ethics Committee, Sardar Patel University of Police, Security and Criminal Justice, Jodhpur." Hereinafter, it shall also be referred to as MSOP, IEC-SPUP.

1) Objective

- i. To ensure ethical conduct of research of the stakeholders of SPUP through receiving and reviewing the research proposals, and approving all types of research proposals involving human participants with a view to safeguarding the dignity, rights, safety, and well-being of all actual and potential research participants. The term 'Stakeholders' used in this document refers to all those students, PhD scholars, faculty members, non-teaching members, administrative authorities of SPUP, and the term also includes any other community members/academicians outside of SPUP joining collaborative research with SPUP members.
- ii. To ensure both national and international standards of ethics in stakeholders' research, keeping in view the key requirements of ethics contributed by famous international documents, such as The Declaration of Helsinki (1964) & The Belmont Report (1979), etc.
- iii. To ensure the major and appropriate provisions pertinent to research and publication ethics are referred in the national level governing organizations of HEIs/ research funding organization, such as UGC, ICSSR, etc.

2) Roles and Responsibilities of IEC-SPUP

- i. IEC-SPUP conducts scientific, and ethical review of the research proposals, and approves all types of research proposals involving human participants with a view to safeguard the dignity, rights, safety, and wellbeing of all actual and potential research participants. Human samples/material likely to affect human health also comes under the purview of the IEC-SPUP.
- ii. While the goals of research are important, they should never be allowed to override the physical and mental health and well-being of research participants.
- iii. The IEC-SPUP takes care that all the cardinal principles of research ethics, viz., honesty, objectivity, integrity, care, openness, respect for intellectual property, confidentiality, and social responsibility, which together provide guidelines for the responsible conduct of research and the protection of participants. The IEC-SPUP emphasizes the importance of informed consent, voluntary participation,

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minimizing harm, anonymity, and non-discrimination of participants, respect for colleagues, responsible publications, declaration of conflict of interest, etc.

- iv. The mandate of the IEC-SPUP is to review and assess the research proposals for ethical clearance for all research projects involving human subjects (including any biological samples and behavioural issues) to be conducted by the stakeholders, irrespective of the funding agency or when no external funding agency is supporting the research. The IEC-SPUP can also review other research projects for ethical clearance that do not involve human participants.
- v. On the basis of reviewing the proposals, the IEC decides either to approve, disapprove, declare to modify and re-submit, or exempt from the ethical clearance of the research proposals submitted to it.
- vi. The IEC-SPUP is obliged to provide its approval subject to conditions or recommend remedial measures to the proposer of the research, in all necessary circumstances.
- vii. The IEC-SPUP is mandated to withdraw its approval, in the event of proven serious violations of research ethics involving human participants at any time during/after the research involved.
- viii. Awareness Programs: IEC-SPUP shall organize awareness programs especially on academic/research/publication ethics related to HEIs through workshop or training to create awareness on this area to the stakeholders.
- ix. In case of mandatory requirement for submission of certification regarding Plagiarism/AI detection to any funding agencies proposals, a request letter is needed to be given to the Convenor/Chairperson, IEC-SPUP. The Convenor/Chairperson, IEC-SPUP shall certify the same upon verification of documents, required e-copies. In this regard a copy of the certificate issued by the Convenor/Chairperson, IEC-SPUP shall be retained by the office IEC-SPUP.

3) Composition of IEC-SPUP

- i. Minimum 4 members need to be there forming the committee (IEC-SPUP). Maximum number of members may be decided by the Vice-chancellor-Sardar Patel University of Police, Security and Criminal Justice-Jodhpur (VC-SPUP) considering the increase of strength of the faculty members of SPUP or as per his/her discretion. As and when required, the VC -SPUP shall also recommend the external members to the committee to utilize such members' expertise in the

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research ethics. Such external members number shall not be more than 25% of the total number of members of any constituted committee.

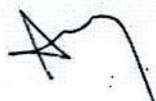
- ii. The names of members of IEC-SPUP shall be recommended by the Vice-Chancellor of SPUP. Such recommended members shall be approved by the research board of the SPUP to constitute the IEC-SPUP. 75% of the members are required to form the quorum for decision making.
- iii. Present IEC-SPUP has 4 members, including one convener. Thus, a minimum of 3 members is required to compose a quorum including the convener for the present IEC-SPUP. When the strength of IEC-SPUP will increase in the future, the roles such as Chairperson, Member secretary (Convener), Co-Convener shall be created in IEC-SPUP. In such a situation in the future, it shall be mandatory for the quorum to have Chairperson and a Convener/Co-Convener.

4) Member as Special invitee

- i. If any circumstance of lack of quorum and an urgent meeting is required for reviewing research proposals, another member from the faculty members of SPUP as a special invitee can be called by chairperson/convener to form the quorum. In such circumstance, the consent of the majority members of IEC-SPUP is required. Also, the reason for calling such special invitee from the faculty members of SPUP must be recorded in the minutes of the meeting concerned.
- ii. If required, subject experts from internal members can also be invited to offer their views. In such circumstance, the above-mentioned similar procedure to be followed.
- iii. If required, subject experts from outside of SPUP can also be invited to offer their views. In such circumstance, the consent of the majority members of IEC-SPUP is required. A prior approval from the VC-SPUP shall be obtained via email or any other written format, stating the reason for calling such external expert. Such external expert may be given honorarium as per the university norms.
- iv. Unless an internal member is invited to fill the quorum of the committee, such other special invitee members will not participate in decision-making on research or project proposals. Decision-making shall be done only by the IEC-SPUP. As a proof of the special invitee's participation, a confirmation letter shall be separately received from him/her, and it shall be recorded in the minutes of the meeting.

5) Membership requirements

- i. Both internal and external members may be included by the Vice-Chancellor



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- ii. The duration of IEC members appointment shall be decided by the VC-SPUP.
- iii. At the end of duration, the committee will be reconstituted, and one-third of the members will be retained in the IEC-SPUP to utilize their experience in ethics committee and for the benefit of successors. A member can continue maximum for two terms. Before the third term, there must be a break for any member to give opportunity to all eligible stakeholders.
- iv. A member can be replaced in the event of death or long-term non-availability or for any action not commensurate with the responsibilities laid down in the guidelines deemed unfit for a member.
- v. A member can tender resignation from the committee with proper reasons to do so.
- vi. All members should maintain absolute confidentiality of all discussions during the meeting and sign a confidentiality form.
- vii. Conflict of interest should be declared by members of the IEC-SPUP, if any is there, at any time for any project or decision.

6) Conduct of Meeting

- a. The Convener/Chairperson, as the case may be, conducts all meetings of the IEC-SPUP. If for reasons beyond control, the Convener/Chairperson is not available, another member of the IEC/Co-convener conducts the meeting. If quorum is insufficient, another faculty member of SPUP may be called as special invitee as mentioned above.
- b. The Convener/Chairperson, as the case may be, is responsible for organizing the meetings, maintaining the records, and communicating with all concerned. He/she prepares the minutes of the meetings and gets it approved by the members before communicating to the researchers. If for reasons beyond control, the Convener/Chairperson is not available; co-convener/ the next member of IEC-SPUP, as the case may be, conducts the meeting.
- c. The records are E-archived for a period of 5 years from the end of the project. The archival of records be done by the academic section of the SPUP. Once in every three months, the records be forwarded to the academic section for archival of the same.

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- d. The researchers/principal investigators, as the case may be, are responsible to archiving their own records for lifetime such as research tool, informed consent forms, permission letter, or all other valid documents related to research ethics. These shall be required to present by them, if anyone disputes or challenges regarding the ethical approval given.
- e. E-archiving is being done in view of the space and cost constraints. Where indicated, archiving may be done for a longer time.
- f. If for reasons beyond control, the meetings can be held on virtual platform also with the prior approval VC-SPUP.

7) Application Procedures

- i. All research proposals are submitted in the prescribed application form (Annexure 1), along with a shortened version proposal for a minimum of 2 pages to the Institutional Ethics Committee (IEC) for its review and approval, if human subjects/animals are involved as study participants in research or the supervisor/RAC/DRC feels or recommends its mandate for the concerned research.
- ii. This proposal shall explicitly mention the i) title, ii) name and affiliation details of the researchers/co-researchers/principal(or)co-principal investigators, iii) brief summary of the study, iv) statement on the need of human participants in the study(if different category of participants involved, mention the category of participants(For example, Children, Teacher, Police, Victims, Transgender etc), v) researcher's statement about the need of ethical approval for the concerned research, vi) briefing of how will/does/did the researcher/scholar ensure the major research ethical practices such as informed consent, maintenance of privacy of the human subjects/participants, confidentiality of the data, no harm/ minimizing harm to the participants, etc. The portion of detailed Introduction, review of literature are not required in this proposal. However, there is no limitation if the applicant willing to submit the full proposal or the detailed proposals.
- iii. When submitting the shortened version of the research/project, researchers/applicants should not submit false/academically dishonest information to the committee, otherwise it may lead to the withdrawal of approval granted in the future. In such circumstance, resubmission of the application for the same research proposal shall not be entertained by the IEC-SPUP.
- iv. One original and two photocopies of proposal in physical format along with the documents an applicant wants to prove his/her ethical conduct of the proposed research shall be submitted with the signature, date of submission of the

researchers/principal investigator, and duly forwarded by the supervisor. All pages submitted to IEC-SPUP must be signed by the principal investigator, and in the case of a research scholar's application, signatures of both the research scholar and the research supervisor are required. These hardcopies of the same shall be submitted to the Convener/Chairperson-IEC-SPUP, as the case may be. For ensuring the digital recording of submission, additionally, the applicant may also prefer sending the scanned copy of proposal with the signatures through the email to the Convenor/Chairperson, as the case may be on the official email address of IEC-SPUP.

- v. On preliminary scrutinization of the application submitted with the documents, the Convener/Chairperson-IEC-SPUP shall ask the applicants for filling incomplete signatures or data. The convenor/ chairperson will present those two copies along with the submitted documents in the committee meeting.

8) Conduct of IEC-SPUP Meetings for Reviewing and Decision Making on the Proposals

- i. Ordinarily the date of IEC-SPUP meetings for reviewing and decision making on the proposals should be conducted 4 times in a year, preferably in the months of August, November, February, and May.
- ii. More meetings are organized, if needed. Additional meetings may be called upon by the Convener/Chairperson, as the case may be, if any directed by the VC-SPUP.
- iii. The date of meeting will be intimated to the researcher/applicants, to be present, if necessary to offer clarifications. Necessary documents should be kept handy by the researcher/applicants.
- iv. It is the sole responsibility of the researchers to have obtained regulatory bodies approval, administrative approval, wherever necessary, and the same may be informed in the shortened version of proposal.
- v. Initially, the committee members will review thoroughly the applications along with the submitted documents. They would go through the protocol in detail and present comments and observations, if any, before the Committee.
- vi. Special considerations would be taken while reviewing projects involving research on vulnerable population like pregnant women, children (up to 18 years), economically disadvantaged, sexual minorities, victims, suffering from mental, stigmatizing, tribals and marginalized communities, differently abled-mentally and

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physically disabled, elderly and those who have diminished autonomy due to dependency etc.

- vii. When the strength of IEC-SPUP would increase in future, minimum two members for reviewing each proposal would be internally decided within the Ethics committee members who would go through the protocol in detail and present comments and observations, if any, before the Committee.
- viii. Researchers are invited to make presentation and to offer clarifications if need be.
- ix. Brief presentation (upto-5-6 slides) including the details of research protocol, ethical considerations including the informed consent form, minimizing harm to the participants, confidentiality of the data, declaration of conflicts, etc may be required to IEC-SPUP, as such information likely to support the decision making by IEC-SPUP. The IEC-SPUP shall require the applicants to submit or show any further documents to support the approval of ethical clearance to the applicants' proposals. IEC-SPUP shall receive any other valid written and signed statements form the applicants for the decision making, wherever as deemed to fit.
- x. On the basis of thorough review and deliberations of IEC-SPUP, the decision of the IEC shall be taken and communicated in concerned proforma of IEC-SPUP. The members who have reviewed shall give their signatures on all the pages of the reviewed proposal.
- xi. A decision is taken by consensus after discussions in the meeting, and whenever needed voting is done.
- xii. When the decisions are arrived, it shall be entered in the pertinent proforma approved by VC-SPUP with the date of the meeting and reference number of the decision in the following format:

Date of the Committee: DD/MM/YYYY (Common for all Proposals)

Ref.No/Approval No. IEC/2025/PROJ/NN/XX (For Project Proposals)

Ref.No/Approval No. IEC/2025/PhD/NN/XX (For PhD Proposals)

Ref.No/Approval No. IEC/2025/DIS/NN/XX (For Dissertation Proposals)

NN refers First Two Letters of the Months; For example, JA- for JANUARY

XX refers Proposal Clearance Number

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- xiii. After making entries in pertinent proforma, the decision of approval shall be issued to the applicant by the Convenor/Chairperson, as the case may be, of the IEC-SPUP.

9) The Decisions to be taken by IEC-SPUP

Any of the following decisions shall be arrived at the conclusion of reviewing of proposal and discussion by the IEC-SPUP:

1. Decision of Approval with/without suggestions (non-approval to be stated with reasons)
 2. Decision of Non-Requirement/ Exemption of Ethical Approval
 3. Declaration for the Resubmission of Revised Proposal
 4. Decision of Termination/Withdrawal of approval
- i. The IEC shall state the reasons in the proformas, wherever required. Verbal/oral consent/waiver of consent/re-consent as required under certain conditions after due consideration and approval by the IEC-SPUP.
 - ii. In case of termination /withdrawal of approval of already granted, the IEC-SPUP must write clearly defined reasons for not approving/terminating/revoking of permission.
 - iii. A researcher/applicant cannot decide that her/his proposal falls in the exempted category. Research proposals must be submitted to the IEC-SPUP on the basis of willing & necessity of PhD scholars and Principal investigators. Masters' degree proposals are exempted in IEC. Such master's degree proposals may be submitted during regular four times meeting in a year, not during other times, only if it is extremely required to obtain the ethical clearance.
 - iv. The decision on the type of review required rests with the IEC-SPUP and will be decided on a case-to-case basis. Researchers can approach the IEC with appropriate justification for the proposal to be considered as exempt or if waiver of consent is requested.
 - v. In all cases, the decision is communicated in writing or by email to the concerned applicant.

10) Resolving Conflict of Interest due to the Membership

- a. Every IEC-SPUP member disclose a Conflict of Interest (COI) in writing when submitting a proposal.

- b. Such disclosure is sufficiently detailed and timely to allow the IEC-SPUP to include an alternate member to attend the IEC meeting to meet quorum.
- c. In case of Convener/Chairperson happens to be Supervisor of the scholar/Principal investigator, the next person of IEC can take his/her charge.
- d. If an investigator is a member of the IEC-SPUP including Convener/ Chairperson/ member in any capacity, he/she cannot participate in the review and approval process for any research work in which he/she is involved as principal investigator, co-investigator, Research Supervisor or has any other potential COI. However, once the review and approval process is done by the quorum, the particular member/Convenor/Chairperson can sign at the end on the minutes and the proforma as the case may be.

11) Provisional Permission

Provisional ethical clearance will not be issued to any researcher under any circumstances.

12) Special Provision

- i. Having obtained special approval from the Hon'ble Vice Chancellor-SPUP, IEC-SPUP is allowed to review only the applications/proposals for ethical clearance of SPUP stakeholders whose research work or data collection (PhD & funded projects) has been submitted/ completed before the formation of IEC in SPUP in 2025. Through this special provision, the IEC-SPUP shall issue an 'Ex-post facto approval of Ethical Clearance' for the SPUP's PhD scholars and the principal investigators of research projects who have strictly followed research ethics requirements in their past/ongoing research. This special provision shall be utilized by the pertinent stakeholders by applying for the ethical clearance within one-year from the date of approval by VC-SPUP.
- ii. This provision has been specifically included in the MSOP, IEC-SPUP to avoid academic related disadvantage of stakeholders who conducted research before the formation of IEC.
- iii. Once the special provision period ends, no ex-post facto ethical approval is provided to any researcher under any circumstances. Any further applications under it shall not be entertained.

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- iv. Any other Special Provisions to be included at any time, it must be done by obtaining prior approval from the VC-SPUP.

13) Appeal

Procedures for appeal by the researchers with proper justification and include representation within four weeks of the decision communicated to the researcher. The appeal should be directed to the Convenor/Chairperson, as the case may be, and will need to be taken up in the next IEC-SPUP meeting.

In case where the issues remain unresolved, the researchers can appeal to the VC-SPUP as the VC-SPUP is the appellate authority.

14) Updating of MSOP, IEC-SPUP

The MSOP/SOP document shall be updated by the IEC-SPUP time-to-time for fulfilment of the ethical needs of researches to be conducted in SPUP.

ANNEXURES

ANNEXURE-I

**APPLICATION FORM FOR ETHICAL APPROVAL & DECLARATION BY THE
RESEARCHERS (To be submitted to the Institutional Ethics Committee (IEC),
SPUP JODHPUR)**

Title of the Study (PhD /PG/ Research Project/Other):

Research Scholar/ Principal Investigator (Name, Designation & Department):

Name and Designation of the Research Supervisor (Only for the Scholars/Students):

Category of the Participants involving/involved in the study (For example Child/ Woman/
General Public/ Victim/ Police/ Prisoner/Patients/ Disabled persons, etc.):

Status of the Data Collection (Tick the appropriate one): Not started / Data collection is going
on/ Completed data collection

1. Reasons for Ethical Approval

- | | |
|---|----------|
| i. Study involves human participants | (Yes/No) |
| ii. Study involves animal subjects | (Yes/No) |
| iii. Research involves psychological health assessments of the participants | (Yes/No) |
| iv. Vulnerable person/special groups involved in the study | (Yes/No) |
| v. Study involves collection of biometric or biological samples | (Yes/No) |

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vi. Any other (specify)

.....
.....
.....

2. Declaration of ethical concerns which were/are/will be followed by the Researcher/investigators during the course of research

- i. Permission was/will be taken from concerned authority (Yes/No/Not needed)
- ii. Informed consent from the Participants (Needed/Not needed)
- iii. If needed, mode of obtaining informed consent (Written/Oral/Both)
- a. If written consent was/is taken, percentage of the subjects/participants gave written consent
- b. If only oral consent was/is/will be taken, state the reason for the same
- iv. Are there any anticipated (or) were there physical/social/psychological harms/risk to participants of the proposed research? (Yes/No)
- v. Necessary steps were/ are/ will be taken to avoid/minimize the potential or unexpected Risk to the research participant due to the participation in research. (Yes/No)
- vi. Anonymity of the participants was/is/will be maintained throughout the research (Yes/No)
- vii. Confidentiality of the data and identity of the subjects, was/is/will be maintained throughout the research (Yes/No)
- viii. Privacy of the participants was/is/will be upheld (Yes/No)
- ix. Voluntary participation of concerned study population was/is/will be ensured (Yes/No)
- x. Any means of deception (for e.g., false promises or gifts) of participants was/is/will be avoided (Yes/No)

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- xi. Plagiarism/ similarity level of the research report/publication ethics was/will be maintained as per the rule of SPUP, Jodhpur/ National level HEIs governing organizations (For e.g. UGC). (Yes/No)

Date of Submission of Application Form to the IEC:

Submitted by:

Signature of the Research
Scholar

Signature of the research Supervisor/
Signature of the Principal Investigator
(With official Seal)

Signature of the Members:

(Member)

(Member)

(Member)

(Member-Convenor)

(Member as Special Invitee (If required/ For Quorum))

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ANNEXURE-II

DECISION OF APPROVAL BY INSTITUTIONAL ETHICS COMMITTEE-SPUP,

JODHPUR

Date of the Committee: DD/MM/YYYY

Ref.No/Approval No. IEC/2025/PROJ/NN/XX

Ref.No/Approval No. IEC/2025/PhD/NN/XX

Ref.No/Approval No. IEC/2025/DIS/NN/XX

With regard to the application submitted to the Institutional Ethics Committee (IEC)-SPUP for the study titled (PhD /PG/ Research Project/Other):.....

.....by Mr./Ms./Mrs./Dr.

..... conducted by himself/herself, (OR) under the supervision of (Name & Designation) the IEC reviewed the proposal, necessary documents and sought clarifications wherever required. Upon verifications, the members of IEC have substantiated the following ethical considerations were/will be followed by the research scholar/principal investigator/researchers.

(Please indicate whether the ethical considerations mentioned below are followed or not; Indicate if any of the below is not required for the study; Add if any other ethical considerations are also observed)

- ☐ Justification for the need of Involvement of humans/animals/vulnerable category of populations in the study
- ☐ Voluntary participation of the respondents
- ☐ Permission from the concerned authority
- ☐ Informed consent of the participants
- ☐ Anonymity of the participants
- ☐ Confidentiality of the data
- ☐ Privacy of the respondents

Signature valid

Digitally signed by Dimple Panwar
Arya
Designation : Deputy Registrar
Date: 2026.02.05 14:28:22 IST
Reason: Approved

- ☐ Researcher's understanding of Honesty and Integrity by the researcher at all stages of research including publication ethics.
- ☐ Minimum/ No physical/social/psychological discomforts/risk to participants
- ☐ Avoidance of any means of deception of participants
- ☐ Any other.....

Upon the verification of the required ethical considerations for the mentioned study, the ethical clearance has been approved / not-approved by the IEC-SPUP. **Note:** Reasons for non-approval should be given clearly.

REASONS:

Signature of the Members:

(Member)

(Member)

(Member)

(Member-Convenor)

(Member as Special Invitee (If required/ For Quorum))

Signature valid

Digitally signed by Dimple Ranwar
Arya
Designation: Deputy Registrar
Date: 2026.02.05 14:28:22 IST
Reason: Approved

ANNEXURE-III

DECISION OF NON-REQUIREMENT/ EXEMPTION OF ETHICAL APPROVAL BY INSTITUTIONAL
ETHICS COMMITTEE

Date of the Committee: DD/MM/YYYY

Ref.No/Approval No. IEC/2025/PROJ/NN/XX

Ref.No/Approval No. IEC/2025/PhD/NN/XX

Ref.No/Approval No. IEC/2025/DIS/NN/XX

With regard to the application submitted to the Institutional Ethics Committee (IEC)-SPUP for the study titled (PhD /PG/ Research Project/Other):.....

.....by Mr./Ms./Mrs./Dr.

..... conducted by himself/herself, (OR) under the supervision of (Name & Designation), the IEC reviewed the proposal, necessary documents and sought clarifications wherever required. Upon verification, the members of IEC have concluded that there is no requirement of ethical approval for the proposed study. On the other hand, the IEC clearly states that hereby the ethical approval is not denied; Thus, the ethical clearance is exempted.

Reasons recorded by IEC for the decision of non-requirement of ethical approval

- 1.
- 2.
- 3.
- 4.

Signature of the Members:

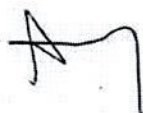
(Member)

(Member)

(Member)

(Member-Convenor)

Member as Special Invitee (If required/ For Quorum))



20

RajKaj Ref No.:
20352975
eSign 1.0

Signature valid

Digitally signed by Dimple Panwar
Arya
Designation : Deputy Registrar
Date: 2026.02.05 14:28:22 IST
Reason: Approved

ANNEXURE-IV

DECLARATION FOR THE RESUBMISSION OF REVISED PROPOSAL FOR THE ETHICAL APPROVAL

Date of the Committee: DD/MM/YYYY

Ref.No/Approval No. IEC/2025/PROJ/NN/XX

Ref.No/Approval No. IEC/2025/PhD/NN/XX

Ref.No/Approval No. IEC/2025/DIS/NN/XX

With regard to the application submitted to the Institutional Ethics Committee (IEC)-SPUP for the study titled (PhD /PG/ Research Project/Other):.....

.....by Mr./Ms./Mrs./Dr.

..... conducted by himself/herself, (OR) under the supervision of (Name & Designation), the IEC reviewed the proposal, necessary documents and sought clarifications wherever required. Upon verification, the members of IEC have decided that there is a requirement of resubmission of proposal for ethical approval with a minor/major modification in the proposed study.

After perusal of the review, the following comments and suggestions were recorded by the IEC members. These should be considered during the proposal revision by the Research Scholar/Principal Investigator.

- 1.
- 2.
- 3.
- 4.

Signatures of the Members:

(Member)

(Member)

(Member)

(Member-Convenor)

Member as Special Invitee (If required/ For Quorum))

Signature valid

Digitally signed by Dimple Panwar
Arya
Designation : Deputy Registrar
Date: 2026.02.05 14:28:22 IST
Reason: Approved

ANNEXURE -V

DECLARATION OF CONFLICT OF INTEREST BY THE MEMBER* OF IEC-SPUP

I am to declare the conflict of interest to the research proposal (PhD/Funded Project / Other) submitted by _____

Designation: _____

For the research study titled

_____, as I

am: (Tick the one appropriate)

1. The Research Supervisor/ Co-Research Supervisor of the Scholar/Students whose proposal is to be reviewed.
2. The Principal Investigator/Co-Investigator/Funder of the above-mentioned proposal.
3. If any other reason, mention below: _____

To avoid the arousal of potential conflict of Interest, I withdraw/ abstain from participation in the process of review of proposal and decision-making or recommendations in respect of such proposals. If quorum is not complete, the committee shall add any internal member by the Convenor/Chairperson or any other member suggested by VC-SPUP in this regard. As a member of IEC-quorum, I will just join as signatory member. I understand that in such a situation, I have no right to interfere with or request changes to any decision or recommendation regarding the specific project,

Name of the Member :

Signature of the Member :

Date :

* The term 'member' includes all persons who are part of IEC-SPUP irrespective of their role and responsibility in the committee

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RajKaj Ref No.:
20352975
eSign 1.0

Signature valid

Digitally signed by Dimble Panwar
Arya
Designation : Deputy Registrar
Date: 2026.02.05 14:28:22 IST
Reason: Approved



**Sardar Patel University of Police, Security & Criminal Justice,
Jodhpur**

Lordi Panditji, Jodhpur-342037



Certificate No: IEC/2025/PhD/.....

Date of Issue:.....

ANNEXURE VI

ETHICAL CLEARANCE CERTIFICATE

Institutional Ethics Committee (IEC), SPUP has reviewed the study titled (PhD /PG):..... submitted by Mr./Ms. under the supervision of (Name & Designation)

The committee is satisfied with the details regarding adherence, by the researcher(s) to the following conditions:

- ☐ Justification for the need of humans/ vulnerable category of populations in the study
- ☐ Voluntary participation of the respondents
- ☐ Permission from the concerned authority
- ☐ Informed consent of the participants
- ☐ Anonymity of the participants
- ☐ Confidentiality of the data
- ☐ Privacy of the respondents/data
- ☐ Honesty and Integrity at all stages of research including publication ethics.
- ☐ No physical/social/psychological discomforts/risk to participants
- ☐ Avoidance of any means of deception of participants
- ☐ Any other

Upon the verification of the required ethical considerations for the mentioned study, the ethical clearance has been approved by the IEC-SPUP.

This approval is valid only for the above-mentioned project. Any modification in the study must be submitted to the committee for fresh approval.

IEC Meeting. No.: SPUP/IEC/ 2025/

Date:

Convenor
Institutional Ethics Committee, SPUP

To,
Dr./Mr./Ms.
Supervisor: Dr.

23

RajKaj Ref No.:
20352975
eSign 1.0

Signature valid

Digitally signed by Dimple Panwar
Arya
Designation : Deputy Registrar
Date: 2026.02.05 14:28:22 IST
Reason: Approved



**Sardar Patel University of Police, Security & Criminal Justice,
Jodhpur**

Lordi Panditji, Jodhpur-342037



International Year
of Cooperatives
2025

Certificate No: IEC/2025/Project/.....

Date of Issue:

ETHICAL CLEARANCE CERTIFICATE

Institutional Ethics Committee (IEC), SPUP has reviewed the study titled (Research Project):..... submitted by Dr. (Name & Designation)

The above research work was/is a funded/Non-funded project.

The committee is satisfied with the details regarding adherence, by the researcher(s) to the following conditions:

- ☐ Justification for the need of humans/ vulnerable category of populations in the study
- ☐ Voluntary participation of the respondents
- ☐ Permission from the concerned authority
- ☐ Informed consent of the participants
- ☐ Anonymity of the participants
- ☐ Confidentiality of the data
- ☐ Privacy of the respondents/data
- ☐ Honesty and Integrity at all stages of research including publication ethics.
- ☐ No physical/social/psychological discomforts/risk to participants
- ☐ Avoidance of any means of deception of participants
- ☐ Adequate acknowledgement of the funding organization in all research outputs
- ☐ Any other.....

Upon the verification of the required ethical considerations for the mentioned study, the ethical clearance has been approved by the IEC-SPUP.

This approval is valid only for the above-mentioned project. Any modification in the study must be submitted to the committee for fresh approval.

IEC Meeting. No.: SPUP/IEC/ 2025/

Date:

Convenor
Institutional Ethics Committee, SPUP

To,
Dr.....

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RajKaj Ref No.:
20352975
eSign 1.0

Signature valid

Digitally signed by Dimple Panwar
Arya
Designation : Deputy Registrar
Date: 2026.02.05 14:28:22 IST
Reason: Approved